

# A remark on Schwarz's topological field theory

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## Abstract

The standard evaluation of the partition function  $Z$  of Schwarz's topological field theory results in the Ray–Singer analytic torsion. Here we present an alternative evaluation which results in  $Z = 1$ . Mathematically, this amounts to a novel perspective on analytic torsion: it can be formally written as a ratio of volumes of spaces of differential forms which is formally equal to 1 by Hodge duality. An analogous result for Reidemeister combinatorial torsion is also obtained.

## 1 Introduction

Analytic torsion [1] arises in a quantum field theoretic context as (the square of) the partition function of Schwarz's topological field theory [2, 3] (see [4] for a detailed review). This has turned out to be an important result in topological quantum field theory; for example it is used to evaluate the semiclassical approximation for the Chern–Simons partition function [5, 6], which gives a QFT-predicted formula for an

asymptotic limit of the Witten–Reshetikhin–Turaev 3-manifold invariant [7] since this invariant arises as the partition function of the Chern–Simons gauge theory on the 3-manifold [5]. See also [8] for a review of Schwarz’s topological field theory in a general context, and [9] for some explicit results in the case of hyperbolic 3-manifolds.

The partition function,  $Z$ , of Schwarz’s topological field theory is a priori a formal, mathematically ill-defined quantity and its evaluation [2, 3, 4] is by formal manipulations which in the end lead to a mathematically meaningful result:  $Z = \tau^{1/2}$  where  $\tau$  is the analytic torsion of the background manifold. In this paper we show (§2) that there is an alternative formal evaluation of the partition function which results in the trivial answer  $Z = 1$ . This result amounts to a novel perspective on analytic torsion: we find that it can be formally written as a certain ratio of volumes of spaces of differential forms which is formally equal to 1 by Hodge duality.

Reidemeister combinatorial torsion (R-torsion) [10, 1] arises as the partition function of a discrete version of Schwarz’s topological field theory [11, 12]. This is of potential interest if one is to attempt to capture the invariants of topological QFT in a discrete, i.e. combinatorial, setting. In §3 an analogue of the above-mentioned result is derived for combinatorial torsion.

## 2 Schwarz’s topological field theory and analytic torsion

We begin by recalling the evaluation of the partition function

$$Z = \frac{1}{V} \int \mathcal{D}\omega e^{-S(\omega)} \quad (2.1)$$

of Schwarz’s topological field theory [2, 3, 4]. Here  $V$  is a normalisation factor to be specified below. The background manifold (“spacetime”)  $M$  is closed, oriented, riemannian, and has odd dimension  $n = 2m + 1$ . For simplicity we assume  $m$  is odd; then the following variant of Schwarz’s topological field theory can be considered [4]: The field  $\omega \in \Omega^m(M, E)$  is an  $m$ -form on  $M$  with values in some flat  $O(N)$  vectorbundle  $E$  over  $M$ . The action functional is

$$S(\omega) = \int_M \omega \wedge d_m \omega. \quad (2.2)$$

Here  $d_p : \Omega^p \rightarrow \Omega^{p+1}$  ( $\Omega^p \equiv \Omega^p(M, E)$ ) is the exterior derivative twisted by a flat connection on  $E$  (which we suppress in the notation), and a sum over vector indices is implied in (2.2) <sup>1</sup>. A choice of metric on  $M$  determines an inner product in each  $\Omega^p$ , given in terms of the Hodge operator  $*$  by

$$\langle \omega, \omega' \rangle = \int_M \omega \wedge * \omega' \quad (2.3)$$

Using this, the action (2.2) can be written as  $S(\omega) = \langle \omega, *d_m \omega \rangle$ . Let  $\ker(S)$  denote the radical of the quadratic functional  $S$  and  $\ker(d_p)$  the nullspace of  $d_p$ . Then  $\ker(S) = \ker(d_m)$ , and after decomposing the integration space in (2.1) as  $\Omega^m = \ker(S) \oplus \ker(S)^\perp$  the partition function can be formally evaluated to get

$$Z = \frac{V(\ker(S))}{V} \det'(( *d_m)^2)^{-1/4} = \frac{V(\ker(S))}{V} \det'(d_m^* d_m)^{-1/4} \quad (2.4)$$

(we are ignoring certain phase and scaling factors; see [13] for these). Here  $V(\ker(S))$  denotes the formal volume of  $\ker(S)$ . The obvious normalisation choice  $V = V(\ker(S))$  does not preserve a certain symmetry property which the partition function has when  $S$  is non-degenerate [4]; therefore we do not use this but instead proceed, following Schwarz, by introducing a resolvent for  $S$ . For simplicity we assume that the cohomology of  $d$  vanishes, i.e.  $\text{Im}(d_p) = \ker(d_{p+1})$  for all  $p$  ( $\text{Im}(d_p)$  is the image of  $d_p$ ). Then  $S$  has the resolvent

$$0 \longrightarrow \Omega^0 \xrightarrow{d_0} \Omega^1 \xrightarrow{d_1} \dots \longrightarrow \Omega^{m-1} \xrightarrow{d_{m-1}} \ker(S) \longrightarrow 0 \quad (2.5)$$

which we use in the following to formally rewrite  $V(\ker(S))$ . The orthogonal decompositions

$$\Omega^p = \ker(d_p) \oplus \ker(d_p)^\perp \quad (2.6)$$

give the formal relations

$$V(\Omega^p) = V(\ker(d_p)) V(\ker(d_p)^\perp). \quad (2.7)$$

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<sup>1</sup>Note that (2.2) vanishes if  $m$  is even.

The maps  $d_p$  restrict to isomorphisms  $d_p : \ker(d_p)^\perp \xrightarrow{\cong} \ker(d_{p+1})$ , giving the formal relations

$$V(\ker(d_{p+1})) = |\det'(d_p)| V(\ker(d_p)^\perp). \quad (2.8)$$

Combining (2.7)–(2.8) we get

$$V(\ker(d_{p+1})) = \det'(d_p^* d_p)^{1/2} V(\Omega^p) V(\ker(d_p))^{-1}. \quad (2.9)$$

Now a simple induction argument based on (2.9) and starting with  $V(\ker(S)) = V(\ker(d_m))$  gives the formal relation

$$V(\ker(S)) = \prod_{p=0}^{m-1} \left( \det'(d_p^* d_p)^{1/2} V(\Omega^p) \right)^{(-1)^p}. \quad (2.10)$$

A natural choice of normalisation is now <sup>2</sup>

$$V = \prod_{p=0}^{m-1} V(\Omega^p)^{(-1)^p}. \quad (2.11)$$

Substituting (2.10)–(2.11) in (2.4) gives

$$Z = \left[ \prod_{p=0}^{m-1} \det'(d_p^* d_p)^{\frac{1}{2}(-1)^p} \right] \det'(d_m^* d_m)^{-1/4}. \quad (2.12)$$

These determinants can be given well-defined meaning via zeta-regularisation [1], resulting in a mathematically meaningful expression for the partition function. As a simple consequence of Hodge duality we have  $\det'(d_p^* d_p) = \det'(d_{n-p-1}^* d_{n-p-1})$ , which allows to rewrite (2.12) as

$$Z = \tau(M, d)^{1/2} \quad (2.13)$$

where

$$\tau(M, d) = \prod_{p=0}^{n-1} \det'(d_p^* d_p)^{\frac{1}{2}(-1)^p}. \quad (2.14)$$

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<sup>2</sup>This choice can be motivated by the fact that, in an analogous finite-dimensional setting, the partition function then continues to exhibit a certain symmetry property which it has when  $S$  is non-degenerate [4].

This is the Ray–Singer analytic torsion [1]; it is independent of the metric, depending only on  $M$  and  $d$ . This variant of Schwarz’s result is taken from [4]; it has the advantage that the resolvent (2.5) is relatively simple. The cases where  $m$  need not be odd, and the cohomology of  $d$  need not vanish, are covered in [2, 3] (see also [4] for the latter case). Everything we do in the following has a straightforward extension to these more general settings, but for the sake of simplicity and brevity we have omitted this.

We now proceed to derive a different answer for  $Z$  to the one above. Our starting point is (2.13)–(2.14) which we consider as a formal expression for  $Z$ , i.e. we do not carry out the zeta regularisation of the determinants. Instead, we use (2.8) to formally write

$$\det'(d_p^* d_p)^{1/2} = \frac{V(\ker(d_{p+1}))}{V(\ker(d_p)^\perp)} \quad (2.15)$$

Substituting this in (2.14) and using (2.7) we find <sup>3</sup>

$$\tau(M, d) = \frac{V(\Omega^1) V(\Omega^3) \dots V(\Omega^n)}{V(\Omega^0) V(\Omega^2) \dots V(\Omega^{n-1})} \quad (2.16)$$

Formally, the ratio of volumes on the r.h.s. equals 1 due to

$$V(\Omega^p) = V(\Omega^{n-p}), \quad (2.17)$$

which is a formal consequence of the Hodge star operator being an orthogonal isomorphism from  $\Omega^p$  to  $\Omega^{n-p}$ . (Recall  $\langle * \omega, * \omega' \rangle = \langle \omega, \omega' \rangle$  for all  $\omega, \omega' \in \Omega^p$ .) This implies  $Z = 1$  due to (2.13).

The formal relation (2.16) shows that analytic torsion can be considered as a “volume ratio anomaly”: The ratio of the volumes on the r.h.s. of (2.16) is formally equal to 1, but when  $\tau(M, d)$  is given well-defined meaning via zeta regularisation of (2.14) a non-trivial value results in general.

It is also interesting to consider the case where  $n$  is even: In this case, using (2.7)–(2.8) we get in place of (2.16) the formal relation

$$\frac{V(\Omega^0) V(\Omega^2) \dots V(\Omega^n)}{V(\Omega^1) V(\Omega^3) \dots V(\Omega^{n-1})} = \prod_{p=0}^{n-1} \det'(d_p^* d_p)^{\frac{1}{2}(-1)^p} = 1 \quad (2.18)$$

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<sup>3</sup>This relation is obtained without any restriction on  $m$ , i.e. for arbitrary odd  $n$ .

The last equality is an easy consequence of Hodge duality and continues to hold after the determinants are given well-defined meaning via zeta regularisation [1]. On the other hand, the ratio of volumes on the l.h.s. is no longer formally equal to 1 by Hodge duality.

### 3 The discrete analogue

Given a simplicial complex  $K$  triangulating  $M$  a discrete version of Schwarz's topological field theory can be constructed which captures the topological quantities of the continuum theory [11, 12]. The discrete theory uses  $\widehat{K}$ , the cell decomposition dual to  $K$ , as well as  $K$  itself. This necessitates a field doubling in the continuum theory prior to discretisation: An additional field  $\omega'$  is introduced and the original action  $S(\omega) = \langle \omega, *d_m \omega \rangle$  is replaced by the doubled action,

$$\tilde{S}(\omega, \omega') = \left\langle \begin{pmatrix} \omega \\ \omega' \end{pmatrix}, \begin{pmatrix} 0 & *d_m \\ *d_m & 0 \end{pmatrix} \begin{pmatrix} \omega \\ \omega' \end{pmatrix} \right\rangle = 2 \int_M \omega' \wedge d_m \omega. \quad (3.1)$$

This theory (known as the abelian BF theory [8]) has the same topological content as the original one; in particular its partition function,  $\tilde{Z}$ , can be evaluated in an analogous way to get  $\tilde{Z} = Z^2 = \tau(M, d)$ . The discretisation prescription is now [11, 12]:

$$(\omega, \omega') \rightarrow (\alpha, \alpha') \in C^m(K) \times C^m(\widehat{K}) \quad (3.2)$$

$$\tilde{S}(\omega, \omega') \rightarrow \tilde{S}(\alpha, \alpha') = \left\langle \begin{pmatrix} \alpha \\ \alpha' \end{pmatrix}, \begin{pmatrix} 0 & *^{\widehat{K}} d_m^{\widehat{K}} \\ *^K d_m^K & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ \alpha' \end{pmatrix} \right\rangle \quad (3.3)$$

Here  $C^p(K) = C^p(K, E)$  is the space of  $p$ -cochains on  $K$  with values in the flat  $O(N)$  vectorbundle  $E$  and  $d_p^K : C^p(K) \rightarrow C^{p+1}(K)$  is the coboundary operator twisted by a flat connection on  $E$ , with  $C^q(\widehat{K})$  and  $d_q^{\widehat{K}}$  being the corresponding  $\widehat{K}$  objects;  $*^K : C^p(K) \rightarrow C^{n-p}(\widehat{K})$  and  $*^{\widehat{K}} : C^q(\widehat{K}) \rightarrow C^{n-q}(K)$  are the duality operators induced by the duality between  $p$ -cells of  $K$  and  $(n-p)$ -cells of  $\widehat{K}$ . The  $p$ -cells of  $K$  and  $\widehat{K}$  determine canonical inner products in  $C^p(K)$  and  $C^p(\widehat{K})$  for each  $p$ , and with respect to these  $*^K$  and  $*^{\widehat{K}}$  are orthogonal maps. (The definitions and background can be found in [14]; see also [1] and [11].) As in §2 we are assuming that  $m$  is odd

and that the cohomology of the flat connection on  $E$  vanishes:  $H^*(M, E) = 0$ . Then the partition function of the discrete theory, denoted  $\tilde{Z}_K$ , can be evaluated by formal manipulations analogous to those in §2 (see [11, 12]) and the resulting expression can be written as either

$$\tilde{Z}_K = \tau(K, d^K) \quad \text{or} \quad \tilde{Z}_K = \tau(\widehat{K}, d^{\widehat{K}}) \quad (3.4)$$

where

$$\tau(K, d^K) = \prod_{p=0}^{n-1} \det'(\partial_{p+1}^K d_p^K)^{\frac{1}{2}(-1)^p} \quad (3.5)$$

and  $\tau(\widehat{K}, d^{\widehat{K}})$  is defined analogously. Here  $\partial_{p+1}^K$  denotes the adjoint of  $d_p^K$  (it can be identified with the boundary operator on the  $(p+1)$ -chains of  $K$ ). The quantities  $\tau(K, d^K)$  and  $\tau(\widehat{K}, d^{\widehat{K}})$  coincide; in fact (3.5) is the Reidemeister combinatorial torsion of  $M$  determined by the given flat connection on  $E$ , and is the same for all cell decompositions  $K$  of  $M$  [10, 1]. (This is analogous to the metric-independence of analytic torsion.) Moreover, the analytic and combinatorial torsions coincide [15], so the discrete partition function in fact reproduces the continuum one:

$$\tilde{Z}_K = \tilde{Z}. \quad (3.6)$$

We now present an analogue of the formal argument which led to  $Z = 1$  in §2. Consider

$$\tau(K, d^K) \tau(\widehat{K}, d^{\widehat{K}}) = \prod_{p=0}^{n-1} \det'(\partial_{p+1}^K d_p^K)^{\frac{1}{2}(-1)^p} \det'(\partial_{p+1}^{\widehat{K}} d_p^{\widehat{K}})^{\frac{1}{2}(-1)^p}. \quad (3.7)$$

Using the analogues of (2.15) and (2.7) in the present setting,

$$\det'(\partial_{p+1}^K d_p^K)^{1/2} = \frac{V(\ker(d_{p+1}^K))}{V(\ker(d_p^K)^\perp)} \quad (3.8)$$

and

$$V(C^p(K)) = V(\ker(d_p^K)) V(\ker(d_p^K)^\perp), \quad (3.9)$$

and the corresponding  $\widehat{K}$  relations, we find an analogue of the formal relation (2.16):

$$\begin{aligned} & \tau(K, d^K) \tau(\widehat{K}, d^{\widehat{K}}) \\ &= \frac{V(C^1(K)) V(C^3(K)) \dots V(C^n(K))}{V(C^0(K)) V(C^2(K)) \dots V(C^{n-1}(K))} \frac{V(C^1(\widehat{K})) V(C^3(\widehat{K})) \dots V(C^n(\widehat{K}))}{V(C^0(\widehat{K})) V(C^2(\widehat{K})) \dots V(C^{n-1}(\widehat{K}))} \end{aligned} \quad (3.10)$$

Formally, the r.h.s. equals 1 due to

$$V(C^p(K)) = V(C^{m-p}(\widehat{K})), \quad (3.11)$$

which is a formal consequence of the duality operator being an orthogonal isomorphism from  $C^p(K)$  to  $C^{m-p}(\widehat{K})$  (i.e.  $\langle *^K \alpha, *^K \alpha' \rangle = \langle \alpha, \alpha' \rangle$  for all  $\alpha, \alpha' \in C^p(K)$ ). This implies that, formally,

$$\tilde{Z}_K = \left( \tau(K, d^K) \tau(\widehat{K}, d^{\widehat{K}}) \right)^{1/2} = 1. \quad (3.12)$$

Thus we see that combinatorial torsion can also be considered as a “volume ratio anomaly” in an analogous way to analytic torsion.

Finally, in the  $n$  even case it is straightforward to find a combinatorial analogue of the formal relation (2.18) –we leave this to the reader.

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